

011204

17707 U.S. PTO

|   |  |
|---|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No. 048501/273281                              |
|   | First Inventor Phillips  |
|   | Title COMPOSITIONS AND METHODS FOR THE<br>TREATMENT OF DISEASE |
|   | Express Mail Label No. EV390050139US                           |

17302 U.S. PTO  
10/755545  
011204

ADDRESS TO: **MAIL STOP PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450**

Transmitted herewith for filing in the United States Patent Office is a patent application for:

Inventors: David Phillips  
David de Kretser  
William Sievert  
Shane Patella  
Joseph Smolich  
David McGaw  
Paul Fennessy

Assignee of this invention is BIOA Pty Limited and Monash University

1. ☒ The Filing Fee has been calculated as shown below:
2. ☒ Applicant claims Small Entity Status. See 37 CFR 1.27.

| No. Filed  |           | No. Extra | Small Entity |               | Large Entity |           |
|--|-----------|-----------|--------------|---------------|--------------|-----------|
|  |           |           | Rate         | Fee 1         | Rate         | Fee 1     |
| BASIC FEE  |           |           |              | \$ 385        |              | \$        |
| TOTAL CLAIMS:  | 58 - 20 = | 38        | X 9 =        | \$ 342        | x 86 =       | \$        |
| INDEP CLAIMS:  | 12 - 3 =  | 9         | X 43 =       | \$ 387        | x 86 =       | \$        |
| [ <input checked="" type="checkbox"/> ] MULTIPLE DEPENDENT CLAIMS<br>PRESENTED |           |           |              | +145 = \$ 145 |              | +290 = \$ |
| *If the difference in Column 1 is less than zero,<br>enter "0" in Column 2.    |           |           | TOTAL        | \$ 1259       | TOTAL        | \$        |

The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Acct. No. 16-0605.

- a. ☒ Fees required under 37 CFR 1.16 (National filing fees).
- b. ☒ Fees required under 37 CFR 1.17 (National application processing fees) including any extension of time fees under 37 CFR § 1.136(a) that are required for consideration of papers filed during prosecution.
- ☒ A check in the amount of \$1259 for the filing fee is enclosed.
- ☐ The above filing fee will be paid along with Applicant(s) Response to the Notice to File Missing Parts.
3. ☒ Specification; Total Pages 59
4. ☒ 21 Sheets of Drawing(s) (35 USC 113)
5. ☐ Declaration and Power of Attorney; [Total Pages     ]
  - a. ☐ Newly executed (original or copy)

- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) & 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76 (6 pages)
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy (CRF)
- b. ☐ Request for Transfer of Computer Readable Form of Sequence Listing  
under 37 CFR § 1.821(e) and MPEP 2422.05 (must be compliant with new rules)
- c. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper (\_\_\_ Pages)
- d. ☐ Statements verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s) (including a check for the \$40.00 fee)
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee); ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449; \_\_\_ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Foreign Priority is claimed as Application No. , filed
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).  
Applicant must attach form PTO/SB35 or its equivalent.
17. ☐ Request for Early Publication Under 37 CFR § 1.219. Fee of \$300.00 is enclosed.
18. **If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below  
and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:**
- ☒ Continuation ☐ Divisional ☐ Continuation in Part (CIP)

of prior Application No: PCT/AU02/00945; Filed July 12, 2002, which claims priority to

Australian Application No. PR6381, filed July 13, 2001.

Prior Application Information: Examiner

Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. **CORRESPONDENCE ADDRESS** , **CUSTOMER NUMBER 00826**

Signature:



Attorney/Agent of Record: Kelly J. Williamson

Attorney/Agent Registration No. 47,179

**Alston & Bird LLP**

Bank of America Plaza

101 South Tryon Street, Suite 4000

Charlotte, NC 28280-4000

Tel Raleigh Office (919) 862-2200

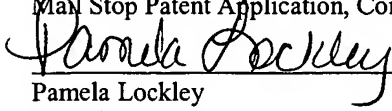
Fax Raleigh Office (919) 862-2260

"Express Mail" mailing label number EV390050139US

Date of Deposit January 12, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

A handwritten signature in cursive script, reading "Pamela Lockley", is written over a horizontal line.

Pamela Lockley  
RTA01/2148363v1